Form: TH-01 April 2020



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# Notice of Intended Regulatory Action (NOIRA) Agency Background Document

Agency name	Board of Dentistry, Department of Health Professions
Virginia Administrative Code (VAC) Chapter citation(s)	18VAC60-30
VAC Chapter title(s)	Regulations Governing the Practice of Dental Assistants
Action title	Training in infection control
Date this document prepared	3/16/20

This information is required for executive branch review and the Virginia Registrar of Regulations, pursuant to the Virginia Administrative Process Act (APA), Executive Order 14 (as amended, July 16, 2018), the Regulations for Filing and Publishing Agency Regulations (1VAC7-10), and the Form and Style Requirements for the Virginia Register of Regulations and Virginia Administrative Code.

## **Brief Summary**

Provide a brief summary (preferably no more than 2 or 3 paragraphs) of the subject matter, intent, and goals of this this regulatory change (i.e., new regulation, amendments to an existing regulation, or repeal of an existing regulation.

In response to a petition for rulemaking from the Virginia Dental Hygiene Program Directors' Consortium, the Board decided to initiate rulemaking to consider adoption of a requirement for training in infection control for dental assistants. The goal of the proposed action is to ensure dental assistants are properly trained in practices that mitigate the chances of infections in dental practices.

# **Acronyms and Definitions**

Define all acronyms or technical definitions used in this form.

## **Mandate and Impetus**

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Identify the mandate for this regulatory change and any other impetus that specifically prompted its initiation (e.g., new or modified mandate, petition for rulemaking, periodic review, or board decision). For purposes of executive branch review, "mandate" has the same meaning as defined in Executive Order 14 (as amended, July 16, 2018), "a directive from the General Assembly, the federal government, or a court that requires that a regulation be promulgated, amended, or repealed in whole or part."

The impetus for the regulatory change was a petition (#313) from the Virginia Dental Hygiene Program Directors' Consortium requesting an amendment to require dental assistants to be certified in infection control procedures recognized by the Dental Assisting National Board or the National Entry Level Dental Assistant. The Board concurred that the comment and concerns expressed warranted rulemaking and will consider certification as one of the alternatives.

## **Legal Basis**

Identify (1) the promulgating agency, and (2) the state and/or federal legal authority for the regulatory change, including the most relevant citations to the Code of Virginia and Acts of Assembly chapter number(s), if applicable. Your citation must include a specific provision, if any, authorizing the promulgating agency to regulate this specific subject or program, as well as a reference to the agency's overall regulatory authority.

Regulations are promulgated under the general authority of Chapter 24 of Title 54.1 of the Code of Virginia. Section 54.1-2400, which provides the Board of Dentistry the authority to promulgate regulations to administer the regulatory system:

### § 54.1-2400 -General powers and duties of health regulatory boards The general powers and duties of health regulatory boards shall be:

- 1. To establish the qualifications for registration, certification or licensure in accordance with the applicable law which are necessary to ensure competence and integrity to engage in the regulated professions.
- 2. To examine or cause to be examined applicants for certification or licensure. Unless otherwise required by law, examinations shall be administered in writing or shall be a demonstration of manual skills.
- 3. To register, certify or license qualified applicants as practitioners of the particular profession or professions regulated by such board.

6. To promulgate regulations in accordance with the Administrative Process Act (§ 9-6.14:1 et seq.) which are reasonable and necessary to administer effectively the regulatory system. Such regulations shall not conflict with the purposes and intent of this

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chapter or of Chapter 1 (§  $\underline{54.1-100}$  et seq.) and Chapter 25 (§  $\underline{54.1-2500}$  et seq.) of this title. ...

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Specific authority for regulation of the profession of dental assisting is found in Chapter 27 of Title 54.1:

#### § 54.1-2729.01. Practice of dental assistants.

A. A person who is employed to assist a licensed dentist or dental hygienist by performing duties not otherwise restricted to the practice of a dentist, dental hygienist, or dental assistant II, as prescribed in regulations promulgated by the Board may practice as a dental assistant I.

B. A person who (i) has met the educational and training requirements prescribed by the Board; (ii) holds a certification from a credentialing organization recognized by the American Dental Association; and (iii) has met any other qualifications for registration as prescribed in regulations promulgated by the Board may practice as a dental assistant II. A dental assistant II may perform duties not otherwise restricted to the practice of a dentist or dental hygienist under the direction of a licensed dentist that are reversible, intraoral procedures specified in regulations promulgated by the Board.

## **Purpose**

Describe the specific reasons why the agency has determined that this regulation is essential to protect the health, safety, or welfare of citizens. In addition, explain any potential issues that may need to be addressed as the regulation is developed.

The purpose of the action is to address concerns about breaches in infection control techniques that endanger the health and safety of the public. In several other states, there have been egregious cases of infection with long term consequences for patients who were exposed to pathogens during the course of dental treatment. While the Board is not aware of such reports in Virginia, it did review several disciplinary cases within the past few years in which dentists were disciplined for a lack of infection control in sterilization of instruments and other risky practices. Since dental assistants I are not regulated by the Board, the dentist is accountable for infection control practice, but it is often the dental assistant who is responsible for infection control processes and procedures. The purpose of a regulatory action is to ensure some consistency in training and a level of competency that protects dental patients.

#### Substance

Briefly identify and explain the new substantive provisions that are being considered, the substantive changes to existing sections that are being considered, or both.

The Board has not determined what it will require in terms of education and training in infection control for dental assistants I. The requirement may entail coursework, continuing education, or certification by a nationally-recognized body.

## **Alternatives to Regulation**

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Describe any viable alternatives to the regulatory change that were considered, and the rationale used by the agency to select the least burdensome or intrusive alternative that meets the essential purpose of the regulatory change. Also, include discussion of less intrusive or less costly alternatives for small businesses, as defined in § 2.2-4007.1 of the Code of Virginia, of achieving the purpose of the regulatory change.

The Board will consider several alternatives in the development of a regulatory change, including certification in infection control procedures recognized by the Dental Assisting National Board or the National Entry Level Dental Assistant, as proposed by the petitioner. The Board will also review infection control requirements from other states, including include continuing education or specified coursework in infection control.

## Periodic Review and Small Business Impact Review Announcement

This NOIRA is not being used to announce a periodic review or a small business impact review.

## **Public Participation**

Indicate how the public should contact the agency to submit comments on this regulation, and whether a public hearing will be held, by completing the text below. In addition, as required by § 2.2-4007.02 of the Code of Virginia describe any other means that will be used to identify and notify interested parties and seek their input, such as regulatory advisory panels or general notices.

The Board of Dentistry is seeking comments on this regulation, including but not limited to: ideas to be considered in the development of this regulation, the costs and benefits of the alternatives stated in this background document or other alternatives, and the potential impacts of the regulation. Also, the agency/board is also seeking information on impacts on small businesses as defined in § 2.2-4007.1 of the *Code of Virginia*. Information may include: 1) projected reporting, recordkeeping, and other administrative costs; 2) the probable effect of the regulation on affected small businesses; and 3) the description of less intrusive or costly alternatives for achieving the purpose of the regulation.

Anyone wishing to submit written comments for the public comment file may do so through the Public Comment Forums feature of the Virginia Regulatory Town Hall web site at <a href="https://www.townhall.virginia.gov">https://www.townhall.virginia.gov</a>. Written comments must include the name and address of the commenter. Comments may also be submitted by mail, email or fax to Elaine Yeatts, Agency Regulatory Coordinator, 9960 Mayland Drive, Henrico, VA 23233 or <a href="mailto:elaine.yeatts@dhp.virginia.gov">elaine.yeatts@dhp.virginia.gov</a> or by fax to (804) 527-4434. In order to be considered, comments must be received by 11:59 pm on the last day of the public comment period.

A public hearing will be held following the publication of the proposed stage of this regulatory action and notice of the hearing will be posted on the Virginia Regulatory Town Hall website (<a href="http://www.townhall.virginia.gov">http://www.townhall.virginia.gov</a>) and on the Commonwealth Calendar website (<a href="https://www.virginia.gov/connect/commonwealth-calendar">https://www.virginia.gov/connect/commonwealth-calendar</a>). Both oral and written comments may be submitted at that time.

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A Regulatory Advisory Panel will not be used. Proposed regulations will be developed by the Regulation Committee of the Board following receipt of public comment on the NOIRA.